



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/826,181 | 04/04/2001 | Mark E. Pecan | CS10742 |

Michael C. Soldner
Motorola, Inc.
Intellectual Property Section, Law Department
600 North U.S. Highway
Libertyville, IL 60048



CONFIRMATION NO. 2854

FORMALITIES LETTER



OC00000006266386

Date Mailed: 07/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/25/2001 MABDI1 00000092 134768 09826181

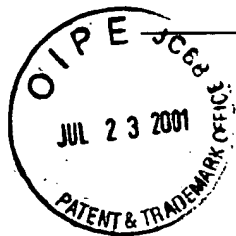
01 FC:105 130.00 CH

#3

DOCKET NO.: CS10742

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): PECEN, MARK E. ET AL.
APPLICATION NO.: 09/826,181
FILE DATE: 04/04/01
GROUP ART UNIT: 2131
TITLE: METHOD AND APPARATUS FOR AUTHENTICATION USING REMOTE
MULTIPLE ACCESS SIM TECHNOLOGY



Mail Date

Certificate of Mailing

Date of deposit : 07/18/01

I hereby certify that this paper is being deposited with the United States Postal Service on the date indicated above, as First Class Mail, with sufficient postage attached thereto, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature of Person Mailing Paper

Karen M. Fenton

Printed Name of Person Mailing Paper

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Customer Service Center
Initial Patent Examination Division

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Application dated April 4, 2001,
please find enclosed:

- | | | |
|-------------------------------------|---|--|
| <input checked="" type="checkbox"/> | 4 | page Declaration Combined with Power of Attorney |
| <input checked="" type="checkbox"/> | 1 | page copy of Notice to File Missing Parts of Application |
| <input checked="" type="checkbox"/> | 3 | page Assignment |
| <input checked="" type="checkbox"/> | 1 | page Assignment Recordation Form |
| <input type="checkbox"/> | | sheets of formal drawings |
| <input type="checkbox"/> | | page Petition for One Month Extension of Time |

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4768. A fee transmittal is enclosed.

Respectfully submitted,

By:

MICHAEL C. SOLDNER

ATTORNEY of Record

Reg. No.: 41,455


Telephone: (847) 523-2585

Fax No.: 847/523-2350

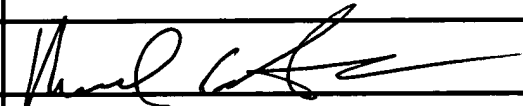
SEND CORRESPONDENCE TO:

Motorola, Inc.
Law Department
600 North US Highway 45, AN-475
Libertyville, IL 60048

Sector #
#3

| | | |
|--|------------------------|------------------------|
| PTO/SB/21 (08-00)  | Application Number | 09/826,181 |
| | Filing Date | 04/04/01 |
| | First Named Inventor | PECEN, MARK E. ET AL. |
| | Group Art Unit | 2131 |
| | Examiner Name | |
| | Attorney Docket Number | CS10742 |
| Total Number of Pages in this Submission | | Express Mail Label No. |

| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-Related papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CDs | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) <div style="margin-left: 20px;"> <input type="checkbox"/> 4 page Declaration Combined with Power of Attorney sheet(s) of formal drawings <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application <input checked="" type="checkbox"/> Recordation Form Cover Sheet </div> |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|---|---|
| Firm or Individual | MICHAEL C. SOLDNER |
| Signature |  |
| Date | 07/18/01 |
| CERTIFICATE OF EXPRESS MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date | |
| Express Mail Label No.: | |
| Typed or printed name | |
| Signature | |

PTO/SF 17 (11-00)

FEE
TRANSMITTAL

JUL 23 2001

Patent fees are subject to annual revision

Complete Known

Application Number 09/826,181
 Filing Date 04/04/01
 First Named Inventor PECEN, MARK E. ET AL.
 Examiner Name
 Group Art Unit 2131
 Attorney Docket No. CS10742

TOTAL AMOUNT OF
PAYMENT

(\$) 170.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

13-4768

Deposit Account Name

Motorola, Inc.

- ☒ Charge Any Additional Fee Required
 Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
 See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|
| 101 | 710 | 201 | 365 | Utility filing fee |
| 106 | 320 | 206 | 160 | Design filing fee |
| 107 | 490 | 207 | 245 | Plant filing fee |
| 108 | 710 | 208 | 355 | Reissue filing fee |
| 114 | 150 | 214 | 75 | Provisional filing fee |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from Below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent | -20** = | X 18 | = |
| Multiple Dependent | -3** = | X 80 | = |
| | | 270 | = |

| Large Fee Code | Entity Fee Code | Small Fee Code | Entity Fee Code | Fee Description |
|----------------|-----------------|----------------|-----------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims Over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0

**OR NUMBER PREVIOUSLY PAID, IF GREATER. For Reissues, see above

SUBMITTED BY

Name (Print/Type) MICHAEL C. SOLDNER

Signature

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Code | Large Fee (\$) | Small Entity Code | Small Fee (\$) | Fee Description |
|---------------------|----------------|-------------------|----------------|--|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 | Surcharge - late Provisional filing |
| 139 | 130 | 139 | 130 | Non-English specification |
| 147 | 2520 | 147 | 2520 | For filing a request for ex parte Reexamination |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 | Extension for reply within first month |
| 116 | 390 | 216 | 195 | Extension for reply within second month |
| 117 | 890 | 217 | 445 | Extension for reply within third month |
| 118 | 1390 | 218 | 695 | Extension for reply within fourth month |
| 128 | 1890 | 228 | 945 | Extension for reply within fifth month |
| 119 | 310 | 219 | 155 | Notice of Appeal |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal |
| 121 | 270 | 221 | 135 | Request for oral hearing |
| 138 | 1510 | 138 | 1510 | Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable |
| 141 | 1240 | 241 | 620 | Petition to revive - unintentional |
| 142 | 1240 | 242 | 620 | Utility issue fee (or reissue) |
| 143 | 440 | 243 | 220 | Design issue fee |
| 144 | 600 | 244 | 300 | Plant issue fee |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) |
| 126 | 180 | 126 | 180 | Submission of IDS |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 179 | 710 | 279 | 355 | Request for continued Examination (RCE) |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application |
| Other fee (specify) | 130 | | | Response To Notice To File Missing Parts |

* Reduced by Basic Filing Fee paid

SUBTOTAL (3)

(\$) 170.00

Complete (if applicable)

Registration No.

41,455

Telephone

(847) 523-2585

Date

07/18/01